| Substitute for form 1449/PTO, based on PTO/SB/08A and 08B | Application Number | 10/660,382 |
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| | Filing Date | September 10, 2003 |
| **INFORMATION DISCLOSURE** | First Named Inventor | Graetz et al. |
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| | Examiner Name | Lee, Cynthia K |
| | Attorney Docket Number | 26-06 |

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## U.S. PATENT DOCUMENTS

| Examiner Initial* | Cite No.[1] | Document Number (US-) | Publication Date (MM-DD-YYYY) | Name | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise) |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No.[1] | Foreign Patent Document Number (include WIPO country code ) | Publication Date (MM-DD-YYYY) | Name | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise) | T[2] |
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## NON-PATENT LITERATURE DOCUMENTS

| Examiner Initial* | Cite No.[1] | REFERENCE Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | 1 | Graetz, J. et al. (2004) "Nanocrystalline and Thin Film Germanium Electrodes with High Lithium Capacity and High Rate Capabilities," *Journal of the Electrochemical Society,* vol 151(5), pp A698-A702 | |

| Examiner Signature | /Cynthia Lee/ | Date Considered | 06/18/2010 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
[1]Applicant's unique citation designation number (optional).
[2]Applicant is to place a check mark here or "x" if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.L./